

MIT 6.035

# Foundations of Dataflow Analysis

Martin Rinard

Laboratory for Computer Science

Massachusetts Institute of Technology

# Dataflow Analysis

- Compile-Time Reasoning About
- Run-Time Values of Variables or Expressions
- At Different Program Points
  - Which assignment statements produced value of variable at this point?
  - Which variables contain values that are no longer used after this program point?
  - What is the range of possible values of variable at this program point?

# Program Representation

- Control Flow Graph
  - Nodes  $N$  – statements of program
  - Edges  $E$  – flow of control
    - $\text{pred}(n)$  = set of all predecessors of  $n$
    - $\text{succ}(n)$  = set of all successors of  $n$
  - Start node  $n_0$
  - Set of final nodes  $N_{\text{final}}$

# Program Points

- One program point before each node
- One program point after each node
- Join point – point with multiple predecessors
- Split point – point with multiple successors

# Basic Idea

- Information about program represented using values from algebraic structure called lattice
- Analysis produces lattice value for each program point
- Two flavors of analysis
  - Forward dataflow analysis
  - Backward dataflow analysis

# Forward Dataflow Analysis

- Analysis propagates values forward through control flow graph with flow of control
  - Each node has a transfer function  $f$ 
    - Input – value at program point before node
    - Output – new value at program point after node
  - Values flow from program points after predecessor nodes to program points before successor nodes
  - At join points, values are combined using a merge function
- Canonical Example: Reaching Definitions

# Backward Dataflow Analysis

- Analysis propagates values backward through control flow graph against flow of control
  - Each node has a transfer function  $f$ 
    - Input – value at program point after node
    - Output – new value at program point before node
  - Values flow from program points before successor nodes to program points after predecessor nodes
  - At split points, values are combined using a merge function
  - Canonical Example: Live Variables

# Partial Orders

- Set  $P$
- Partial order  $\leq$  such that  $\forall x, y, z \in P$ 
  - $x \leq x$  (reflexive)
  - $x \leq y$  and  $y \leq x$  implies  $x = y$  (asymmetric)
  - $x \leq y$  and  $y \leq z$  implies  $x \leq z$  (transitive)
- Can use partial order to define
  - Upper and lower bounds
  - Least upper bound
  - Greatest lower bound

# Upper Bounds

- If  $S \subseteq P$  then
  - $x \in P$  is an upper bound of  $S$  if  $\forall y \in S. y \leq x$
  - $x \in P$  is the least upper bound of  $S$  if
    - $x$  is an upper bound of  $S$ , and
    - $x \leq y$  for all upper bounds  $y$  of  $S$
  - $\vee$  - join, least upper bound, lub, supremum, sup
    - $\vee S$  is the least upper bound of  $S$
    - $x \vee y$  is the least upper bound of  $\{x,y\}$

# Lower Bounds

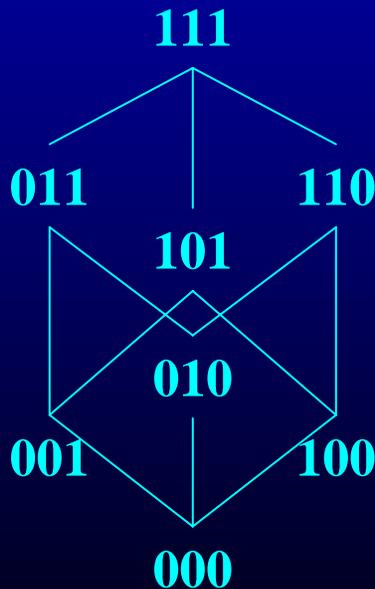
- If  $S \subseteq P$  then
  - $x \in P$  is a lower bound of  $S$  if  $\forall y \in S. x \leq y$
  - $x \in P$  is the greatest lower bound of  $S$  if
    - $x$  is a lower bound of  $S$ , and
    - $y \leq x$  for all lower bounds  $y$  of  $S$
  - $\wedge$  - meet, greatest lower bound, glb, infimum, inf
    - $\wedge S$  is the greatest lower bound of  $S$
    - $x \wedge y$  is the greatest lower bound of  $\{x,y\}$

# Covering

- $x < y$  if  $x \leq y$  and  $x \neq y$
- $x$  is covered by  $y$  ( $y$  covers  $x$ ) if
  - $x < y$ , and
  - $x \leq z < y$  implies  $x = z$
- Conceptually,  $y$  covers  $x$  if there are no elements between  $x$  and  $y$

# Example

- $P = \{ 000, 001, 010, 011, 100, 101, 110, 111 \}$   
(standard boolean lattice, also called hypercube)
- $x \leq y$  if  $(x \text{ bitwise and } y) = x$



## Hasse Diagram

- If  $y$  covers  $x$ 
  - Line from  $y$  to  $x$
  - $y$  above  $x$  in diagram

# Lattices

- If  $x \wedge y$  and  $x \vee y$  exist for all  $x, y \in P$ ,  
then  $P$  is a lattice.
- If  $\wedge S$  and  $\vee S$  exist for all  $S \subseteq P$ ,  
then  $P$  is a complete lattice.
- All finite lattices are complete

# Lattices

- If  $x \wedge y$  and  $x \vee y$  exist for all  $x, y \in P$ , then  $P$  is a lattice.
- If  $\wedge S$  and  $\vee S$  exist for all  $S \subseteq P$ , then  $P$  is a complete lattice.
- All finite lattices are complete
- Example of a lattice that is not complete
  - Integers  $I$
  - For any  $x, y \in I$ ,  $x \vee y = \max(x, y)$ ,  $x \wedge y = \min(x, y)$
  - But  $\vee I$  and  $\wedge I$  do not exist
  - $I \cup \{+\infty, -\infty\}$  is a complete lattice

# Top and Bottom

- Greatest element of  $P$  (if it exists) is top
- Least element of  $P$  (if it exists) is bottom ( $\perp$ )

# Connection Between $\leq$ , $\wedge$ , and $\vee$

- The following 3 properties are equivalent:

- $x \leq y$

- $x \vee y = y$

- $x \wedge y = x$

- Will prove:

- $x \leq y$  implies  $x \vee y = y$  and  $x \wedge y = x$

- $x \vee y = y$  implies  $x \leq y$

- $x \wedge y = x$  implies  $x \leq y$

- Then by transitivity, can obtain

- $x \vee y = y$  implies  $x \wedge y = x$

- $x \wedge y = x$  implies  $x \vee y = y$

# Connecting Lemma Proofs

- Proof of  $x \leq y$  implies  $x \vee y = y$ 
  - $x \leq y$  implies  $y$  is an upper bound of  $\{x, y\}$ .
  - Any upper bound  $z$  of  $\{x, y\}$  must satisfy  $y \leq z$ .
  - So  $y$  is least upper bound of  $\{x, y\}$  and  $x \vee y = y$
- Proof of  $x \leq y$  implies  $x \wedge y = x$ 
  - $x \leq y$  implies  $x$  is a lower bound of  $\{x, y\}$ .
  - Any lower bound  $z$  of  $\{x, y\}$  must satisfy  $z \leq x$ .
  - So  $x$  is greatest lower bound of  $\{x, y\}$  and  $x \wedge y = x$

# Connecting Lemma Proofs

- Proof of  $x \vee y = y$  implies  $x \leq y$ 
  - $y$  is an upper bound of  $\{x,y\}$  implies  $x \leq y$
- Proof of  $x \wedge y = x$  implies  $x \leq y$ 
  - $x$  is a lower bound of  $\{x,y\}$  implies  $x \leq y$

# Lattices as Algebraic Structures

- Have defined  $\vee$  and  $\wedge$  in terms of  $\leq$
- Will now define  $\leq$  in terms of  $\vee$  and  $\wedge$ 
  - Start with  $\vee$  and  $\wedge$  as arbitrary algebraic operations that satisfy associative, commutative, idempotence, and absorption laws
  - Will define  $\leq$  using  $\vee$  and  $\wedge$
  - Will show that  $\leq$  is a partial order
- Intuitive concept of  $\vee$  and  $\wedge$  as information combination operators (or, and)

# Algebraic Properties of Lattices

Assume arbitrary operations  $\vee$  and  $\wedge$  such that

–  $(x \vee y) \vee z = x \vee (y \vee z)$  (associativity of  $\vee$ )

–  $(x \wedge y) \wedge z = x \wedge (y \wedge z)$  (associativity of  $\wedge$ )

–  $x \vee y = y \vee x$  (commutativity of  $\vee$ )

–  $x \wedge y = y \wedge x$  (commutativity of  $\wedge$ )

–  $x \vee x = x$  (idempotence of  $\vee$ )

–  $x \wedge x = x$  (idempotence of  $\wedge$ )

–  $x \vee (x \wedge y) = x$  (absorption of  $\vee$  over  $\wedge$ )

–  $x \wedge (x \vee y) = x$  (absorption of  $\wedge$  over  $\vee$ )

# Connection Between $\wedge$ and $\vee$

- $x \vee y = y$  if and only if  $x \wedge y = x$
- Proof of  $x \vee y = y$  implies  $x = x \wedge y$ 
  - $x = x \wedge (x \vee y)$  (by absorption)
  - $= x \wedge y$  (by assumption)
- Proof of  $x \wedge y = x$  implies  $y = x \vee y$ 
  - $y = y \vee (y \wedge x)$  (by absorption)
  - $= y \vee (x \wedge y)$  (by commutativity)
  - $= y \vee x$  (by assumption)
  - $= x \vee y$  (by commutativity)

# Properties of $\leq$

- Define  $x \leq y$  if  $x \vee y = y$
- Proof of transitive property. Must show that

$x \vee y = y$  and  $y \vee z = z$  implies  $x \vee z = z$

$$x \vee z = x \vee (y \vee z) \text{ (by assumption)}$$

$$= (x \vee y) \vee z \text{ (by associativity)}$$

$$= y \vee z \text{ (by assumption)}$$

$$= z \text{ (by assumption)}$$

# Properties of $\leq$

- Proof of asymmetry property. Must show that  $x \vee y = y$  and  $y \vee x = x$  implies  $x = y$

$$x = y \vee x \quad (\text{by assumption})$$

$$= x \vee y \quad (\text{by commutativity})$$

$$= y \quad (\text{by assumption})$$

- Proof of reflexivity property. Must show that

$$x \vee x = x$$

$$x \vee x = x \quad (\text{by idempotence})$$

# Properties of $\leq$

- Induced operation  $\leq$  agrees with original definitions of  $\vee$  and  $\wedge$ , i.e.,
  - $x \vee y = \sup \{x, y\}$
  - $x \wedge y = \inf \{x, y\}$

# Proof of $x \vee y = \sup \{x, y\}$

- Consider any upper bound  $u$  for  $x$  and  $y$ .
- Given  $x \vee u = u$  and  $y \vee u = u$ , must show  $x \vee y \leq u$ , i.e.,  $(x \vee y) \vee u = u$

$$u = x \vee u \quad (\text{by assumption})$$

$$= x \vee (y \vee u) \quad (\text{by assumption})$$

$$= (x \vee y) \vee u \quad (\text{by associativity})$$

# Proof of $x \wedge y = \inf \{x, y\}$

- Consider any lower bound  $l$  for  $x$  and  $y$ .
- Given  $x \wedge l = l$  and  $y \wedge l = l$ , must show  $l \leq x \wedge y$ , i.e.,  $(x \wedge y) \wedge l = l$

$$l = x \wedge l \quad (\text{by assumption})$$

$$= x \wedge (y \wedge l) \quad (\text{by assumption})$$

$$= (x \wedge y) \wedge l \quad (\text{by associativity})$$

# Chains

- A set  $S$  is a chain if  $\forall x, y \in S. y \leq x$  or  $x \leq y$
- $P$  has no infinite chains if every chain in  $P$  is finite
- $P$  satisfies the ascending chain condition if for all sequences  $x_1 \leq x_2 \leq \dots$  there exists  $n$  such that  $x_n = x_{n+1} = \dots$

# Application to Dataflow Analysis

- Dataflow information will be lattice values
  - Transfer functions operate on lattice values
  - Solution algorithm will generate increasing sequence of values at each program point
  - Ascending chain condition will ensure termination
- Will use  $\vee$  to combine values at control-flow join points

# Transfer Functions

- Transfer function  $f: P \rightarrow P$  for each node in control flow graph
- $f$  models effect of the node on the program information

# Transfer Functions

Each dataflow analysis problem has a set  $F$  of transfer functions  $f: P \rightarrow P$

- Identity function  $i \in F$
- $F$  must be closed under composition:  
 $\forall f, g \in F. \text{ the function } h = \lambda x. f(g(x)) \in F$
- Each  $f \in F$  must be monotone:  
 $x \leq y \text{ implies } f(x) \leq f(y)$
- Sometimes all  $f \in F$  are distributive:  
 $f(x \vee y) = f(x) \vee f(y)$
- Distributivity implies monotonicity

# Distributivity Implies Monotonicity

- Proof of distributivity implies monotonicity
- Assume  $f(x \vee y) = f(x) \vee f(y)$
- Must show:  $x \vee y = y$  implies  $f(x) \vee f(y) = f(y)$

$$f(y) = f(x \vee y) \quad (\text{by assumption})$$

$$= f(x) \vee f(y) \quad (\text{by distributivity})$$

# Putting Pieces Together

- Forward Dataflow Analysis Framework
- Simulates execution of program forward with flow of control

# Forward Dataflow Analysis

- Simulates execution of program forward with flow of control
- For each node  $n$ , have
  - $in_n$  – value at program point before  $n$
  - $out_n$  – value at program point after  $n$
  - $f_n$  – transfer function for  $n$  (given  $in_n$ , computes  $out_n$ )
- Require that solution satisfy
  - $\forall n. out_n = f_n(in_n)$
  - $\forall n \neq n_0. in_n = \vee \{ out_m . m \text{ in } pred(n) \}$
  - $in_{n_0} = I$
  - Where  $I$  summarizes information at start of program

# Dataflow Equations

- Compiler processes program to obtain a set of dataflow equations

$$\text{out}_n := f_n(\text{in}_n)$$

$$\text{in}_n := \vee \{ \text{out}_m . m \text{ in pred}(n) \}$$

- Conceptually separates analysis problem from program

# Worklist Algorithm for Solving Forward Dataflow Equations

for each  $n$  do  $out_n := f_n(\perp)$

$in_{n_0} := I$ ;  $out_{n_0} := f_{n_0}(I)$

worklist :=  $N - \{ n_0 \}$

while worklist  $\neq \emptyset$  do

    remove a node  $n$  from worklist

$in_n := \vee \{ out_m . m \text{ in } \text{pred}(n) \}$

$out_n := f_n(in_n)$

    if  $out_n$  changed then

        worklist := worklist  $\cup$  succ( $n$ )

# Correctness Argument

- Why result satisfies dataflow equations
- Whenever process a node  $n$ , set  $out_n := f_n(in_n)$   
Algorithm ensures that  $out_n = f_n(in_n)$
- Whenever  $out_m$  changes, put  $succ(m)$  on worklist.  
Consider any node  $n \in succ(m)$ . It will eventually come off worklist and algorithm will set
$$in_n := \vee \{ out_m . m \text{ in } pred(n) \}$$
to ensure that  $in_n = \vee \{ out_m . m \text{ in } pred(n) \}$
- So final solution will satisfy dataflow equations

# Termination Argument

- Why does algorithm terminate?
- Sequence of values taken on by  $in_n$  or  $out_n$  is a chain. If values stop increasing, worklist empties and algorithm terminates.
- If lattice has ascending chain property, algorithm terminates
  - Algorithm terminates for finite lattices
  - For lattices without ascending chain property, use widening operator

# Widening Operators

- Detect lattice values that may be part of infinitely ascending chain
- Artificially raise value to least upper bound of chain
- Example:
  - Lattice is set of all subsets of integers
  - Could be used to collect possible values taken on by variable during execution of program
  - Widening operator might raise all sets of size  $n$  or greater to TOP (likely to be useful for loops)

# Reaching Definitions

- $P$  = powerset of set of all definitions in program (all subsets of set of definitions in program)
- $\vee = \cup$  (order is  $\subseteq$ )
- $\perp = \emptyset$
- $I = \text{in}_{n_0} = \perp$
- $F$  = all functions  $f$  of the form  $f(x) = a \cup (x-b)$ 
  - $b$  is set of definitions that node kills
  - $a$  is set of definitions that node generates
- General pattern for many transfer functions
  - $f(x) = \text{GEN} \cup (x\text{-KILL})$

# Does Reaching Definitions Framework Satisfy Properties?

- $\subseteq$  satisfies conditions for  $\leq$ 
  - $x \subseteq y$  and  $y \subseteq z$  implies  $x \subseteq z$  (transitivity)
  - $x \subseteq y$  and  $y \subseteq x$  implies  $y = x$  (asymmetry)
  - $x \subseteq x$  (idempotence)
- $F$  satisfies transfer function conditions
  - $\lambda x. \emptyset \cup (x - \emptyset) = \lambda x. x \in F$  (identity)
  - Will show  $f(x \cup y) = f(x) \cup f(y)$  (distributivity)
    - $f(x) \cup f(y) = (a \cup (x - b)) \cup (a \cup (y - b))$
    - $= a \cup (x - b) \cup (y - b) = a \cup ((x \cup y) - b)$
    - $= f(x \cup y)$

# Does Reaching Definitions Framework Satisfy Properties?

- What about composition?
  - Given  $f_1(x) = a_1 \cup (x - b_1)$  and  $f_2(x) = a_2 \cup (x - b_2)$
  - Must show  $f_1(f_2(x))$  can be expressed as  $a \cup (x - b)$ 
$$\begin{aligned}f_1(f_2(x)) &= a_1 \cup ((a_2 \cup (x - b_2)) - b_1) \\ &= a_1 \cup ((a_2 - b_1) \cup ((x - b_2) - b_1)) \\ &= (a_1 \cup (a_2 - b_1)) \cup ((x - b_2) - b_1) \\ &= (a_1 \cup (a_2 - b_1)) \cup (x - (b_2 \cup b_1))\end{aligned}$$
  - Let  $a = (a_1 \cup (a_2 - b_1))$  and  $b = b_2 \cup b_1$
  - Then  $f_1(f_2(x)) = a \cup (x - b)$

# General Result

All GEN/KILL transfer function frameworks satisfy

- Identity
- Distributivity
- Composition

Properties

# Available Expressions

- $P =$  powerset of set of all expressions in program (all subsets of set of expressions)
- $\vee = \cap$  (order is  $\supseteq$ )
- $\perp = P$
- $I = \text{in}_{n_0} = \emptyset$
- $F =$  all functions  $f$  of the form  $f(x) = a \cup (x-b)$ 
  - $b$  is set of expressions that node kills
  - $a$  is set of expressions that node generates
- Another GEN/KILL analysis

# Concept of Conservatism

- Reaching definitions use  $\cup$  as join
  - Optimizations must take into account all definitions that reach along ANY path
- Available expressions use  $\cap$  as join
  - Optimization requires expression to reach along ALL paths
- Optimizations must conservatively take all possible executions into account. Structure of analysis varies according to way analysis used.

# Backward Dataflow Analysis

- Simulates execution of program backward against the flow of control
- For each node  $n$ , have
  - $in_n$  – value at program point before  $n$
  - $out_n$  – value at program point after  $n$
  - $f_n$  – transfer function for  $n$  (given  $out_n$ , computes  $in_n$ )
- Require that solution satisfies
  - $\forall n. in_n = f_n(out_n)$
  - $\forall n \notin N_{final}. out_n = \vee \{ in_m . m \text{ in } succ(n) \}$
  - $\forall n \in N_{final} = out_n = O$
  - Where  $O$  summarizes information at end of program

# Worklist Algorithm for Solving Backward Dataflow Equations

for each  $n$  do  $in_n := f_n(\perp)$

for each  $n \in N_{\text{final}}$  do  $out_n := O$ ;  $in_n := f_n(O)$

worklist :=  $N - N_{\text{final}}$

while worklist  $\neq \emptyset$  do

    remove a node  $n$  from worklist

$out_n := \vee \{ in_m . m \text{ in succ}(n) \}$

$in_n := f_n(out_n)$

    if  $in_n$  changed then

        worklist := worklist  $\cup$  pred( $n$ )

# Live Variables

- $P =$  powerset of set of all variables in program  
(all subsets of set of variables in program)
- $\vee = \cup$  (order is  $\subseteq$ )
- $\perp = \emptyset$
- $\bigcirc = \emptyset$
- $F =$  all functions  $f$  of the form  $f(x) = a \cup (x-b)$ 
  - $b$  is set of variables that node kills
  - $a$  is set of variables that node reads

# Meaning of Dataflow Results

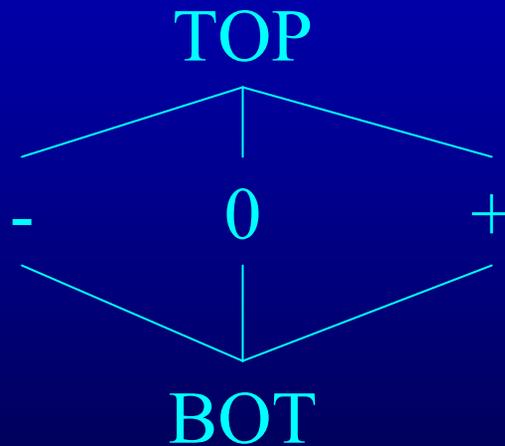
- Concept of program state  $s$  for control-flow graphs
  - Program point  $n$  where execution located  
( $n$  is node that will execute next)
  - Values of variables in program
- Each execution generates a trajectory of states:
  - $s_0; s_1; \dots; s_k$ , where each  $s_i \in ST$
  - $s_{i+1}$  generated from  $s_i$  by executing basic block to
    - Update variable values
    - Obtain new program point  $n$

# Relating States to Analysis Result

- Meaning of analysis results is given by an abstraction function  $AF:ST \rightarrow P$
- Correctness condition: require that for all states  $s$   
$$AF(s) \leq in_n$$
where  $n$  is the next statement to execute in state  $s$

# Sign Analysis Example

- Sign analysis - compute sign of each variable  $v$
- Base Lattice:  $P = \text{flat lattice on } \{-,0,+\}$



- Actual lattice records a value for each variable
  - Example element:  $[a \rightarrow +, b \rightarrow 0, c \rightarrow -]$

# Interpretation of Lattice Values

- If value of  $v$  in lattice is:
  - BOT: no information about sign of  $v$
  - -: variable  $v$  is negative
  - 0: variable  $v$  is 0
  - +: variable  $v$  is positive
  - TOP:  $v$  may be positive or negative
- What is abstraction function AF?
  - $AF([x_1, \dots, x_n]) = [\text{sign}(x_1), \dots, \text{sign}(x_n)]$
  - Where  $\text{sign}(x) = 0$  if  $x = 0$ ,  $+$  if  $x > 0$ ,  $-$  if  $x < 0$

# Operation $\otimes$ on Lattice

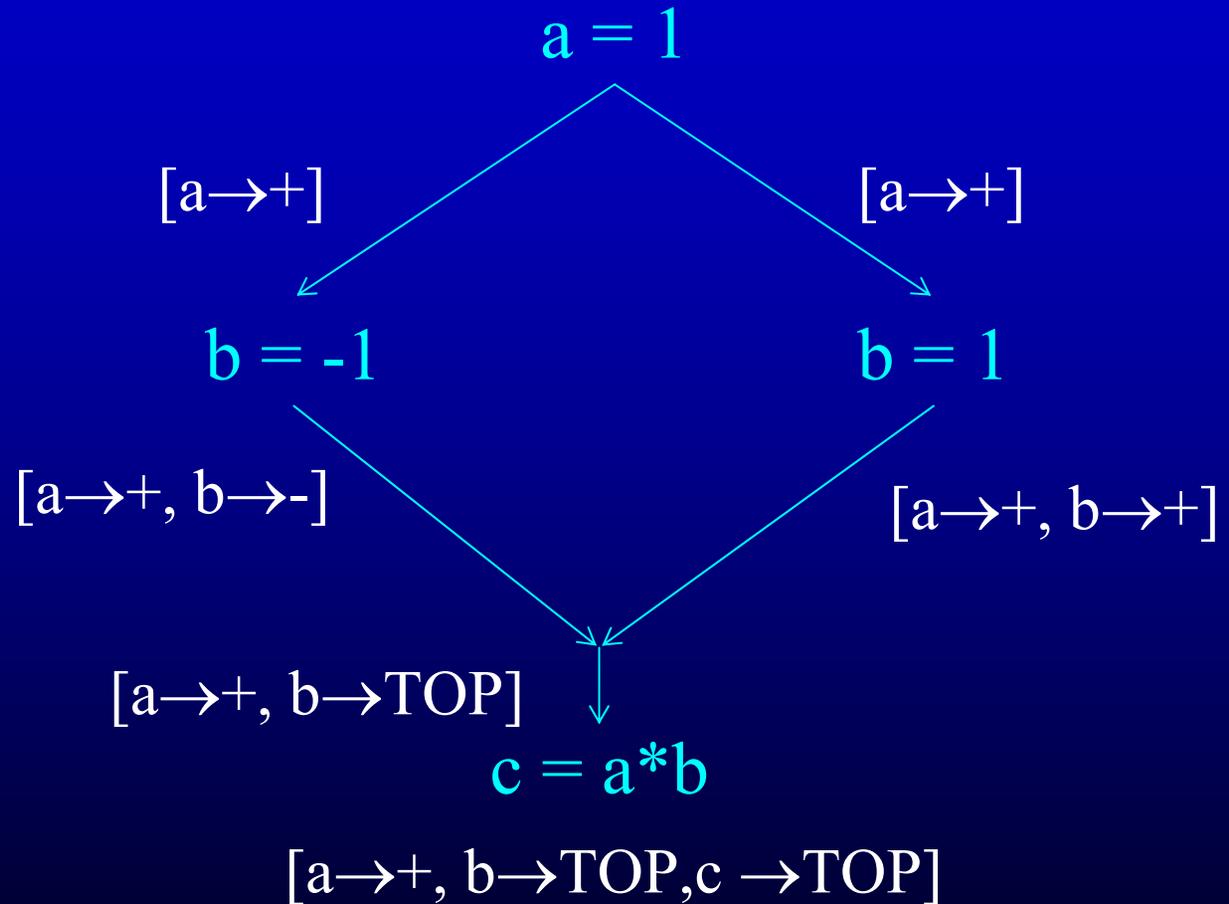
$\otimes$	BOT	-	0	+	TOP
BOT	BOT	-	0	+	TOP
-	-	+	0	-	TOP
0	0	0	0	0	0
+	+	-	0	+	TOP
TOP	TOP	TOP	0	TOP	TOP

# Transfer Functions

- If  $n$  of the form  $v = c$ 
  - $f_n(\mathbf{x}) = x[v \rightarrow +]$  if  $c$  is positive
  - $f_n(\mathbf{x}) = x[v \rightarrow 0]$  if  $c$  is 0
  - $f_n(\mathbf{x}) = x[v \rightarrow -]$  if  $c$  is negative
- If  $n$  of the form  $v_1 = v_2 * v_3$ 
  - $f_n(\mathbf{x}) = x[v_1 \rightarrow x[v_2] \otimes x[v_3]]$
- $I = \text{TOP}$ 

(uninitialized variables may have any sign)

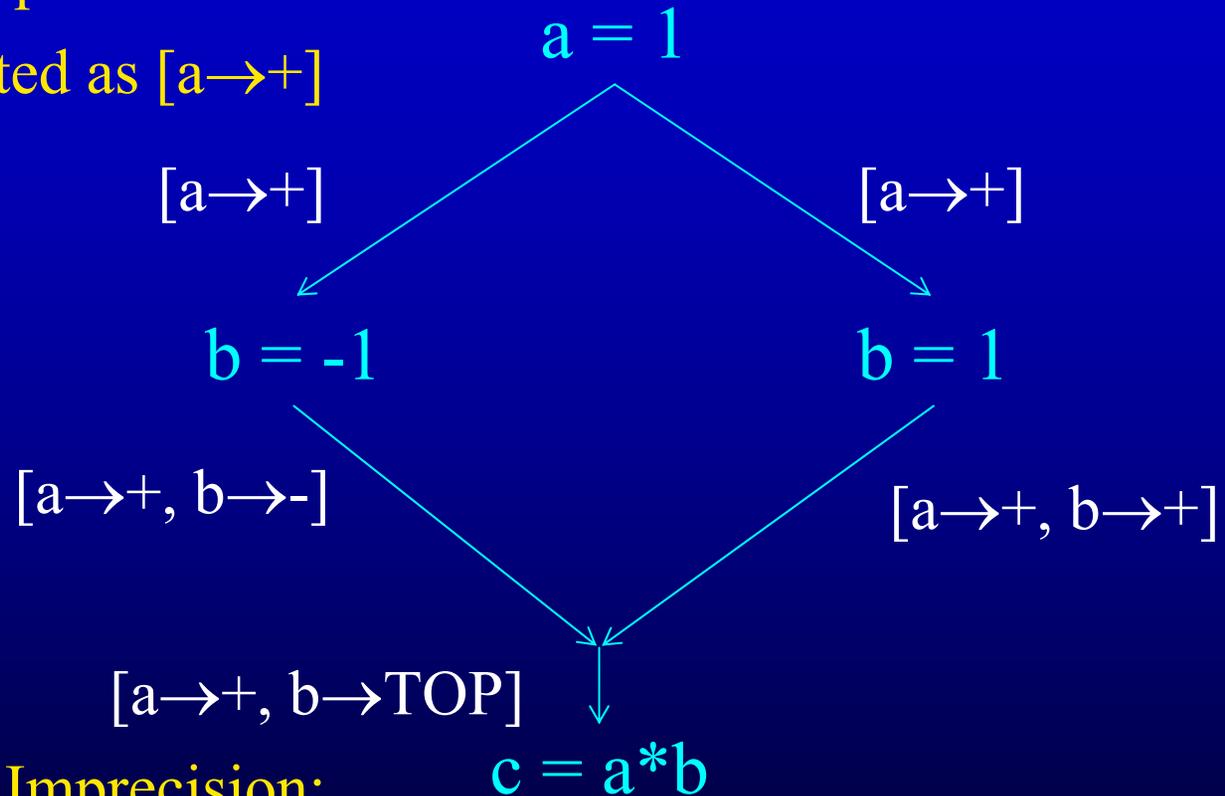
# Example



# Imprecision In Example

Abstraction Imprecision:

$[a \rightarrow 1]$  abstracted as  $[a \rightarrow +]$



Control Flow Imprecision:

$[b \rightarrow \text{TOP}]$  summarizes results of all executions. In any execution state  $s$ ,  $\text{AF}(s)[b] \neq \text{TOP}$

# General Sources of Imprecision

- **Abstraction Imprecision**
  - Concrete values (integers) abstracted as lattice values (-,0, and +)
  - Lattice values less precise than execution values
  - Abstraction function throws away information
- **Control Flow Imprecision**
  - One lattice value for all possible control flow paths
  - Analysis result has a single lattice value to summarize results of multiple concrete executions
  - Join operation  $\vee$  moves up in lattice to combine values from different execution paths
  - Typically if  $x \leq y$ , then  $x$  is more precise than  $y$

# Why Have Imprecision

- Make analysis tractable
- Unbounded sets of values in execution
  - Typically abstracted by finite set of lattice values
- Execution may visit unbounded set of states
  - Abstracted by computing joins of different paths

# Abstraction Function

- $AF(s)[v] = \text{sign of } v$ 
  - $AF(n, [a \rightarrow 5, b \rightarrow 0, c \rightarrow -2]) = [a \rightarrow +, b \rightarrow 0, c \rightarrow -]$
- Establishes meaning of the analysis results
  - If analysis says variable has a given sign
  - Always has that sign in actual execution
- Correctness condition:
  - $\forall v. AF(s)[v] \leq in_n[v]$  (n is node for s)
  - Reflects possibility of imprecision

# Abstraction Function Soundness

- Will show

$$\forall v. AF(s)[v] \leq in_n[v] \text{ (n is node for s)}$$

by induction on length of computation that produced s

- Base case:

–  $\forall v. in_{n_0}[v] = TOP$ , which implies that

–  $\forall v. AF(s)[v] \leq TOP$

# Induction Step

- Assume  $\forall v. AF(s)[v] \leq in_n[v]$  for computations of length  $k$
- Prove for computations of length  $k+1$
- Proof:
  - Given  $s$  (state),  $n$  (node to execute next), and  $in_n$
  - Find  $p$  (the node that just executed),  $s_p$  (the previous state), and  $in_p$
  - By induction hypothesis  $\forall v. AF(s_p)[v] \leq in_p[v]$
  - Case analysis on form of  $n$ 
    - If  $n$  of the form  $v = c$ , then
      - $s[v] = c$  and  $out_p[v] = \text{sign}(c)$ , so
$$AF(s)[v] = \text{sign}(c) = out_p[v] \leq in_n[v]$$
      - If  $x \neq v$ ,  $s[x] = s_p[x]$  and  $out_p[x] = in_p[x]$ , so
$$AF(s)[x] = AF(s_p)[x] \leq in_p[x] = out_p[x] \leq in_n[x]$$
    - Similar reasoning if  $n$  of the form  $v_1 = v_2 * v_3$

# Augmented Execution States

- Abstraction functions for some analyses require augmented execution states
  - Reaching definitions: states are augmented with definition that created each value
  - Available expressions: states are augmented with expression for each value

# Meet Over Paths Solution

- What solution would be ideal for a forward dataflow analysis problem?
- Consider a path  $p = n_0, n_1, \dots, n_k, n$  to a node  $n$   
(note that for all  $i$   $n_i \in \text{pred}(n_{i+1})$ )
- The solution must take this path into account:  
$$f_p(\perp) = (f_{nk}(f_{nk-1}(\dots f_{n1}(f_{n0}(\perp)) \dots)) \leq in_n$$
- So the solution must have the property that  
$$\bigvee \{f_p(\perp) \mid p \text{ is a path to } n\} \leq in_n$$
  
and ideally  
$$\bigvee \{f_p(\perp) \mid p \text{ is a path to } n\} = in_n$$

# Soundness Proof of Analysis Algorithm

- Property to prove:

For all paths  $p$  to  $n$ ,  $f_p(\perp) \leq in_n$

- Proof is by induction on length of  $p$ 
  - Uses monotonicity of transfer functions
  - Uses following lemma

- Lemma:

Worklist algorithm produces a solution such that

$$f_n(in_n) = out_n$$

$$\text{if } n \in \text{pred}(m) \text{ then } out_n \leq in_m$$

# Proof

- Base case:  $p$  is of length 1
  - Then  $p = n_0$  and  $f_p(\perp) = \perp = \text{in}_{n_0}$
- Induction step:
  - Assume theorem for all paths of length  $k$
  - Show for an arbitrary path  $p$  of length  $k+1$

# Induction Step Proof

- $p = n_0, \dots, n_k, n$
- Must show  $f_k(f_{k-1}(\dots f_{n_1}(f_{n_0}(\perp)) \dots)) \leq in_n$ 
  - By induction  $(f_{k-1}(\dots f_{n_1}(f_{n_0}(\perp)) \dots)) \leq in_{nk}$
  - Apply  $f_k$  to both sides, by monotonicity we get
$$f_k(f_{k-1}(\dots f_{n_1}(f_{n_0}(\perp)) \dots)) \leq f_k(in_{nk})$$
  - By lemma,  $f_k(in_{nk}) = out_{nk}$
  - By lemma,  $out_{nk} \leq in_n$
  - By transitivity,  $f_k(f_{k-1}(\dots f_{n_1}(f_{n_0}(\perp)) \dots)) \leq in_n$

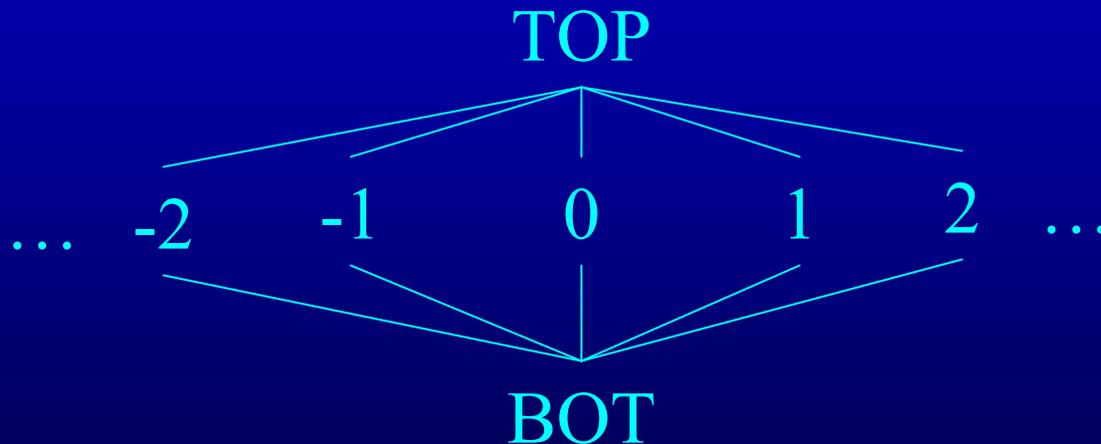
# Distributivity

- Distributivity preserves precision
- If framework is distributive, then worklist algorithm produces the meet over paths solution
  - For all  $n$ :

$$\bigvee \{f_p(\perp) \mid p \text{ is a path to } n\} = in_n$$

# Lack of Distributivity Example

- Constant Calculator
- Flat Lattice on Integers

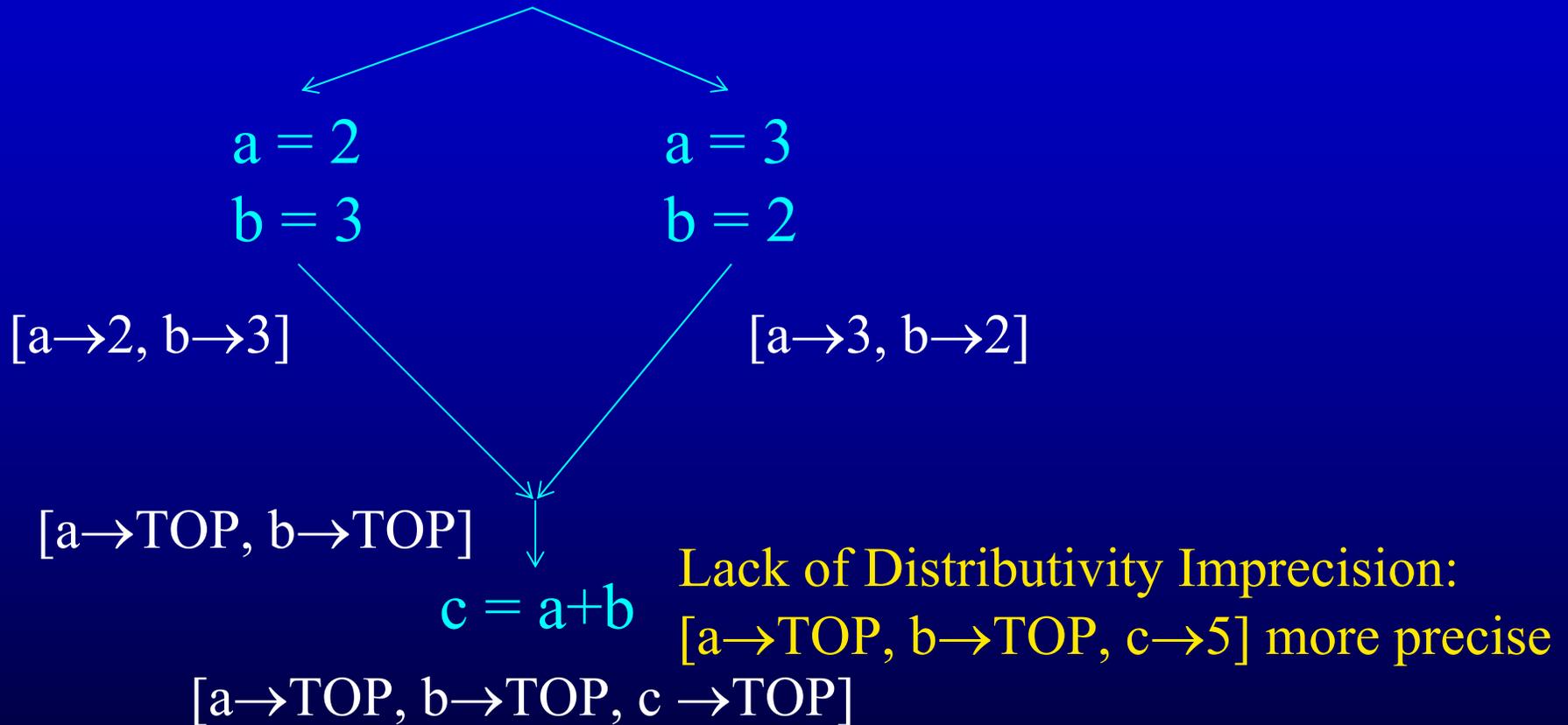


- Actual lattice records a value for each variable
  - Example element:  $[a \rightarrow 3, b \rightarrow 2, c \rightarrow 5]$

# Transfer Functions

- If  $n$  of the form  $v = c$ 
  - $f_n(\mathbf{x}) = \mathbf{x}[v \rightarrow c]$
- If  $n$  of the form  $v_1 = v_2 + v_3$ 
  - $f_n(\mathbf{x}) = \mathbf{x}[v_1 \rightarrow \mathbf{x}[v_2] + \mathbf{x}[v_3]]$
- Lack of distributivity
  - Consider transfer function  $f$  for  $c = a + b$
  - $f([a \rightarrow 3, b \rightarrow 2]) \vee f([a \rightarrow 2, b \rightarrow 3]) = [a \rightarrow \text{TOP}, b \rightarrow \text{TOP}, c \rightarrow 5]$
  - $f([a \rightarrow 3, b \rightarrow 2] \vee [a \rightarrow 2, b \rightarrow 3]) = f([a \rightarrow \text{TOP}, b \rightarrow \text{TOP}]) = [a \rightarrow \text{TOP}, b \rightarrow \text{TOP}, c \rightarrow \text{TOP}]$

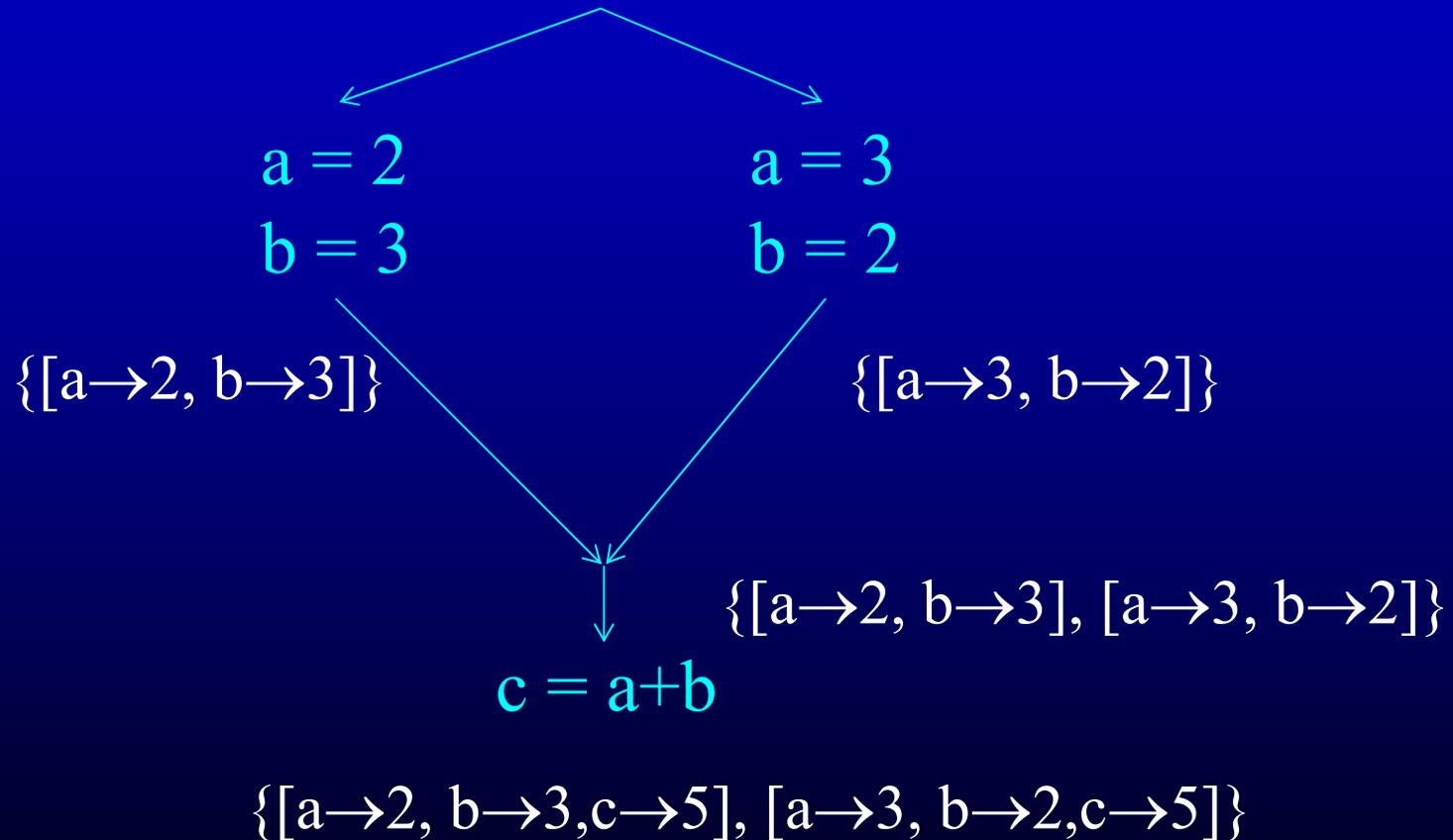
# Lack of Distributivity Anomaly



What is the meet over all paths solution?

# How to Make Analysis Distributive

- Keep combinations of values on different paths

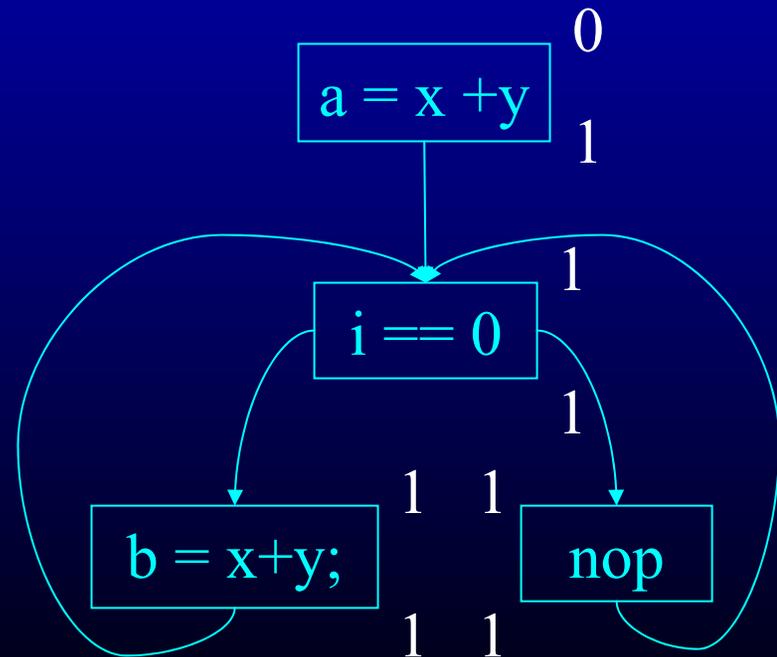
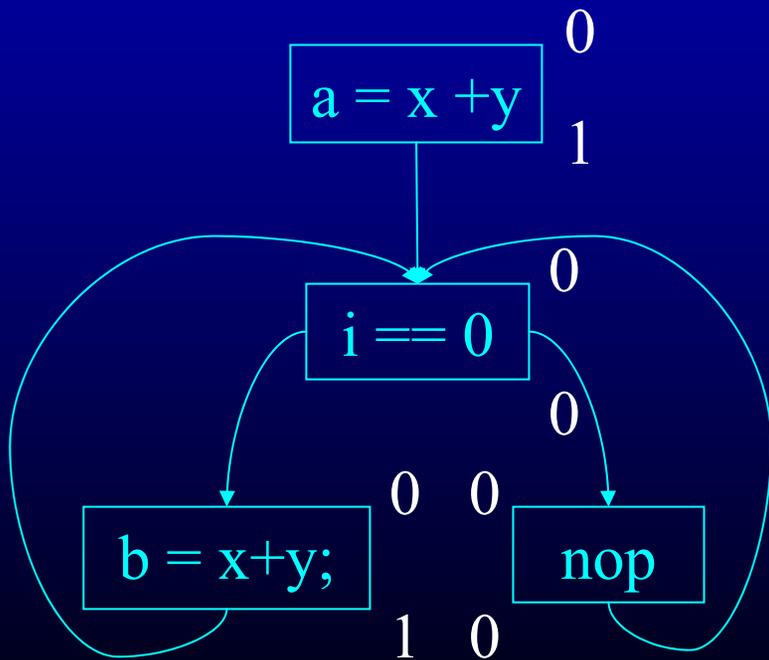


# Issues

- Basically simulating all combinations of values in all executions
  - Exponential blowup
  - Nontermination because of infinite ascending chains
- Nontermination solution
  - Use widening operator to eliminate blowup (can make it work at granularity of variables)
  - Loses precision in many cases

# Multiple Fixed Points

- Dataflow analysis generates least fixed point
- May be multiple fixed points
- Available expressions example



# Pessimistic vs. Optimistic Analyses

- Available expressions is optimistic (for common sub-expression elimination)
  - Assumes expressions are available at start of analysis
  - Analysis eliminates all that are not available
  - If analysis result  $in_n \leq e$ , can use  $e$  for CSE
  - Cannot stop analysis early and use current result
- Live variables is pessimistic (for dead code elimination)
  - Assumes all variables are live at start of analysis
  - Analysis finds variables that are dead
  - If  $e \leq$  analysis result  $in_n$ , can use  $e$  for dead code elimination
  - Can stop analysis early and use current result
- Formal dataflow setup same for both analyses
- Optimism/pessimism depends on intended use

# Summary

- Formal dataflow analysis framework
  - Lattices, partial orders
  - Transfer functions, joins and splits
  - Dataflow equations and fixed point solutions
- Connection with program
  - Abstraction function  $AF: S \rightarrow P$
  - For any state  $s$  and program point  $n$ ,  $AF(s) \leq in_n$
  - Meet over all paths solutions, distributivity