

# 3.020 Lecture 28

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# 1 Boltzmann hypothesis

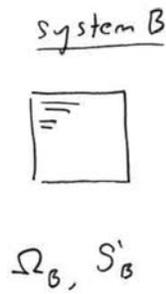
*Preamble:*  $\Omega$  describes the stability of a macrostate.

The state with maximum  $\Omega$  will appear to be the most stable in time.

*Hypothesis:*  $S = f(\Omega)$

Entropy is a monotonically rising function of  $\Omega$

- Consider two isolated systems



$$S' = S_A' + S_B' \quad - \text{extensive}$$

$$\Omega = \Omega_A \cdot \Omega_B \quad - \text{combinatorics}$$

$$f(\Omega) = f(\Omega_A \Omega_B)$$

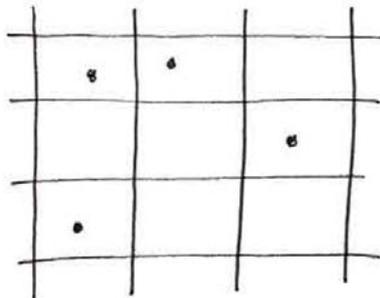
$$= f(\Omega_A) + f(\Omega_B)$$

↓

$$f(\Omega) = S = k_B \ln \Omega$$

Boltzmann entropy formula

# 2 Configurational entropy



# ways to put  $n$  molecules into  $r$  boxes.  
boxes sufficiently small such that no box has more than 1 molecule

$$\Omega = \binom{r}{n} = \frac{r!}{n! (r-n)!}$$

- Let  $r = \frac{V'}{b}$ .  $V'$ : total volume;  $b$ : voxel, take to be volume of a molecule
- Can show that  $\ln \binom{r}{n} \approx n \ln r$  for  $r \gg n$

- Now let system expand from  $V'$  to  $2V'$

$$\Delta S' = k_B n \left( \ln \left( \frac{2V'}{b} \right) - \ln \frac{V'}{b} \right) = k_B n \ln \left( \frac{2V'}{V'} \right) = k_B n \ln 2$$

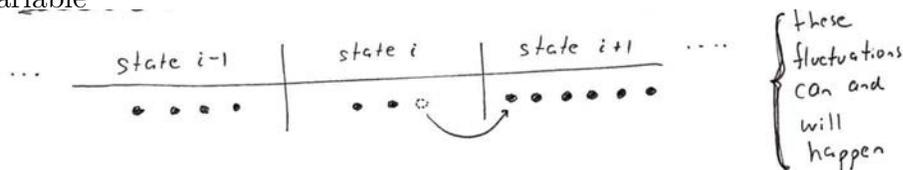
- Same as classical result for isothermal expansion of ideal gas
- $k_B n = R$  for  $n = N_A$

### 3 Maximum entropy condition and the Boltzmann distribution

- Consider  $n_{TOT}$  particles distributed among  $r$  states according to occupation numbers  $n_i = n_1, n_2, \dots, n_r$

$$\begin{aligned} S' &= k_B \ln \left( \frac{n_{TOT}!}{\prod_i n_i!} \right) \\ &= k_B \left( n_{TOT} \ln n_{TOT} - n_{TOT} - \sum_i n_i \ln n_i + \sum_i n_i \right) \quad \leftarrow \text{Using Stirling's approx.} \\ &= k_B \left( n_{TOT} \ln n_{TOT} - \sum_i n_i \ln n_i \right) \quad \text{using} \quad \sum_i n_i = n_{TOT} \\ &= -k_B \sum_i n_i \ln \left( \frac{n_i}{n_{TOT}} \right) \end{aligned}$$

- The distribution of occupation numbers  $n_i$  is an unconstrained internal variable



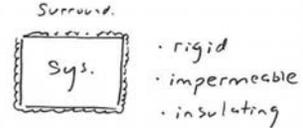
- The maximum entropy condition  $S = S_{MAX}$  requires that  $S$  is stationary w.r.t. all such unconstrained internal processes

$$dS' = -k_B \sum_i \left( \ln n_i dn_i + \frac{n_i}{n_i} dn_i - \ln n_{TOT} dn_i - \frac{n_i}{n_{TOT}} dn_{TOT} \right)$$

$$= -k_B \sum_i \ln \left( \frac{n_i}{n_{TOT}} \right) dn_i$$

- Isolation constraints

– let  $\epsilon_i$  be the energy per particle in state  $i$



$$U' = \sum_i \epsilon_i n_i \quad \longrightarrow \quad dU = \sum_i \epsilon_i dn_i = 0 \quad \text{conservation of energy}$$

$$n_{TOT} = \sum_i n_i \quad \longrightarrow \quad dn_{TOT} = \sum_i dn_i = 0 \quad \text{conservation of mass}$$

*Note:* Conservation of volume connected to the  $\epsilon_i$ 's being constants

- Constrained optimization: Want to optimize  $S'$  subject to constraints that  $U'$ ,  $n_{TOT}$  are fixed

Method of Lagrange multipliers

$$\underline{\nabla} S' + \alpha \underline{\nabla} n_{TOT} + \beta \underline{\nabla} U' = 0 \quad \text{as in calculus textbooks}$$

$$dS + \alpha dn_{TOT} + \beta dU' = 0$$

$\alpha$ ,  $\beta$ : Lagrange multipliers

- Substitute expressions for  $dS$ ,  $dU'$ ,  $dn_{TOT}$  and collect terms

$$\sum_i \underbrace{\left( -k_B \ln \left( \frac{n_i}{n_{TOT}} + \alpha + \beta \epsilon_i \right) \right)}_{\text{set each coefficient to zero}} \underbrace{dn_i}_{\text{unconstrained}} = 0$$

$\Downarrow$

$$-k_B \ln \left( \frac{n_i}{n_{TOT}} \right) + \alpha + \beta \epsilon_i = 0$$

$$\frac{n_i}{n_{TOT}} = e^{\alpha/k_B} e^{\beta \epsilon_i/k_B} \quad \text{for each } i = 1, 2, \dots, r$$

- Determine  $\alpha$  by normalization

$$\sum_i \frac{n_i}{n_{TOT}} = 1$$
$$e^{\alpha/k_B} = \frac{1}{\sum_i e^{\beta \epsilon_i/k_B}} = \frac{1}{Q}$$
$$Q = \sum_i e^{\beta \epsilon_i/k_B} \quad \text{partition function}$$

- Partition function normalizes the distribution function

$$\frac{n_i}{n_{TOT}} = \frac{e^{\beta \epsilon_i/k_B}}{Q}$$

$$\frac{n_i}{n_{TOT}} = \frac{e^{\beta \epsilon_i/k_B}}{Q}$$

The fraction of molecules in state  $i$  depends on energy  $\epsilon_i$

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